

031504

16569 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.: D/A3223	Total Pages: 30
		First Named Inventor or Application Identifier Yuhua Tong, et al.	
		Express Mail Label No.:	ER 292616825 US
APPLICATION ELEMENTS See MPEP Chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop: Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification (incl. claims) (Total Pages: 27)</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets: 3) <input type="checkbox"/> Informal <input checked="" type="checkbox"/> Formal Use Figure 1 for front page of Publication.</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages: 12) a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] <input type="checkbox"/> i. <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (Identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p>	
ACCOMPANYING APPLICATION PARTS			
<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other:</p>			
17. <input type="checkbox"/> If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: /			
18. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Same as prior application <input checked="" type="checkbox"/> Correspondence address below			
NAME	Marylou J. Lavoie, Esq. LLC		
ADDRESS	1 Banks Road		
CITY	Simsbury	STATE	CT
		ZIP CODE	06070
COUNTRY	USA	TELEPHONE	860-651-3130
		FAX	860-651-3135

17510 U.S. PTO  
10/800943

031504

Attorney Docket No. D/A3223

19. ☒ The filing fee is calculated below:


CLAIMS AS FILED, LESS ANY CLAIMS CANCELED BY ABOVE-INDICATED AMENDMENT(S)				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	22 - 20 =	2	X \$ 18	= \$36.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3 =	0	X \$ 84	= \$0.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d))		ANY - - 0	\$ 280	= \$0.00
BASIC FEE (37 CFR 1.16(a))				\$750.00
TOTAL				= \$ 786.00

20. ☒ The Commissioner is hereby authorized to charge any filing or prosecution fees which may be required, under 37 CFR 1.16, 1.17, and 1.21 (but not 1.18), or to credit any overpayment, to **Xerox Corporation**, Account No. 24-0037. *An additional copy of this form is enclosed.*
21. ☒ This is an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
22. ☐ A CIP declaration is enclosed.
23. ☒ Power of Attorney
- a. ☐ The power of attorney appears in the original papers of the enclosed prior application.
- b. ☐ Enclosed is a copy of the declaration and power of attorney from the enclosed prior application.
- c. ☒ A new declaration with power of attorney is enclosed.
24. ☐ The following inventors named in the prior application are deleted per 37 CFR 1.53(b)(1), 1.63(d)(2) and 1.33 (b):

Attorney Docket No.: **D/A3223**

25. ☐ This application is adding one or more inventors under 37 CFR 1.48 to a previously executed application, with an enclosed: petition, fee, newly executed declaration from all inventors, and written consent of the assignee.
26. ☐ This application claims the priority benefit of one or more Provisional Application No(s). and the first sentence of this application has been or will be amended to so indicate.
27. ☐ This application is **NOT** to be published under 35 U.S.C. 122(b). The undersigned attorney or agent hereby certifies pursuant to 37 CFR §1.213, that the invention disclosed in this application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.
28. ☒ The assignee of this application to be indicated on its publication is **Xerox Corporation**.
29. ☐ Other paper(s) enclosed:

Respectfully submitted,



Marylou J. Lavoie, Esq.

Signature per 37 CFR 1.33 & 34

Registration No. 36,194

Telephone No. 860-651-3130

U.S. Patent Application  
Filed March 15, 2004  
Express Mail Label No. ER 292616825 US  
Attorney Docket No. D/A3223

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: YUHUA TONG ET AL.  
FILED: MARCH 15, 2004  
FOR: REVERSIBLY COLOR CHANGING UNDERCOAT  
LAYER FOR ELECTROPHOTOGRAPHIC PHOTORECEPTORS

TRANSMITTAL LETTER

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for filing a patent application for patent under 37 C.F.R. 1.53(b).

Enclosed are:

27 pages of specification (including claims and abstract)  
3 sets of formal drawings (3 pages each set, 9 pages total)  
Utility Patent Application Transmittal (2 copies, 3 pages each copy)  
Assignment (6 pages)  
Declaration and Power of Attorney (12 pages)  
Information Disclosure Statement PTO-1449 (1 page)  
Recordation Cover Sheet (1 page)  
Acknowledgement Postcard

Respectfully submitted,  
YUHUA TONG ET AL.

By: 

Marylou J. Lavoie, Esq.  
Registration No. 36,194

Date: March 15, 2004  
Address: 1 Banks Road  
Simsbury, CT 06070  
Telephone: (860) 651-3130